

### The new FDA drug safety communication on the use of general anesthetics in young children: what should we make of it?

Recently, the US Food and Drug Administration (FDA) issued a warning related to the use of general anesthetics in children younger than 3 years of age and in women during their third trimester of pregnancy (<http://www.fda.gov/Drugs/DrugSafety/ucm532356.htm>). What is the available evidence behind this drug safety communication and, most importantly, how should it influence our daily practice?

The pediatric anesthesia community is already well aware of this issue. Indeed, the plausible association between the perioperative period and subsequently impaired neurocognitive or behavioral outcome in young children had been suggested decades ago (1). Over the last decade, high-quality experimental and clinical research has resulted in hundreds of publications and has considerably advanced our knowledge and understanding in this field.

There is now strong evidence that most general anesthetics can modulate brain development in all animal models studied; ranging from the nematode to the non-human primate (2). The degree and nature of morphologic and functional change is dose-dependent and probably greater in younger animals, with effects varying from the subtle to the profound. There is also increasing evidence that young animals with a long exposure to anesthesia have a variety of neurobehavioral problems when they are older. However, even though we are beginning to unpick some of the mechanisms that underlie these effects, we still have not directly linked morphologic changes to neurobehavioral changes. From the animal studies, it is reasonable to conclude that if you give enough anesthetic for long enough, there will be some morphologic changes in humans, but whether or not that would translate to neurodevelopmental changes in humans is unknown and cannot be determined solely by further animal studies.

Identifying any causal effect in humans is not easy. Nevertheless several large human studies have been published. Very large population-based cohort studies have consistently found evidence for a very small association between anesthesia exposure and neurodevelopmental outcomes (3–5). Potential confounding makes it impossible to infer that this relationship is causal. Interestingly, at least one study did not see a stronger association in those exposed at a younger age compared to an older age (3); increasingly, the likelihood that the association

is indeed not related to the changes seen in animal studies. Importantly, PANDA, the most robust cohort study available so far, found no evidence for any association between exposure to anaesthesia for hernia repair and outcomes in a range of detailed psychometric assessments (6). Similarly, the GAS trial found no evidence for a difference between general or awake-regional anesthesia (although the children are yet to be fully assessed at an older age) (7). The majority of children in all these studies had less than 2 h of anesthesia, and given the effect in animal studies is duration-dependent, it is perhaps not surprising that no evidence for a causal relationship has yet emerged in human studies. From the animal data and the human data, it is now reasonable to conclude that less than 2 h of anesthesia does not directly cause any detectable neurodevelopmental change in the majority of humans. It is possible that some subgroups may still be at risk but there is no strong evidence to support this speculation.

There are a few cohort studies looking at outcomes after longer exposures in infant humans. These are mostly published outside the anesthesia journals (8). They show strong evidence for an association between major surgery in neonates and poor neurodevelopmental outcome. These children have numerous substantial confounding factors that could explain the association. Indeed some of these papers do not even mention anesthesia as a possible causative factor. From human studies, we simply do not know if long exposure to anesthesia in infancy is a problem or not. Well-designed trials are needed but these trials will not be quick, cheap, or easy.

So what do we make of the FDA warning? Given the wealth of animal data, the FDA was obliged to make some statement. We think that most of the warning is sensible, evidence-based, and balanced. The warning alerts the public to the issue, and also provides some reassurance. The second sentence says “relatively short exposure to general anesthetic and sedation drugs in infants or toddlers is unlikely to have negative effects on behavior or learning”. The first sentence is perhaps the one which will cause most alarm to the public and clinicians: “that repeated or lengthy use of general anesthetic and sedation drugs during surgeries or procedures in children younger than 3 years or in pregnant women during their third trimester may affect the development of children’s brains”. Yes that is true, it *may* affect the brain. There *may* also be long-term effects but the only

clinical evidence we have to suggest this is very weak and indirect.

The evidence for choosing 3 years of age as a “cutoff” is extraordinarily weak. Choosing an age makes the warning easier for clinicians to act on, but it is very difficult to understand why the FDA chose this age. Translating animal developmental age to human developmental ages is an imprecise science. Younger animals do seem to have greater effects but even within the limitations of translation, some effects are still seen in relatively older animals (9). The human studies do not support there being an upper age where there is no longer an association. Similarly, the evidence concerning the impact of multiple vs single exposure is limited in animal studies and weak in human studies. The stronger association seen with multiple exposures in some studies may simply be explained by confounding. In later paragraphs, the warning goes to some length to explain the limitations of our knowledge and the weak nature of the evidence, but we suspect many readers of the warning will only remember the first sentence.

The warning does not suggest anesthesia is avoided but rather suggests “Health care professionals should balance the benefits of appropriate anesthesia in young children and pregnant women against the potential risks, especially for procedures that may last longer than 3 h or if multiple procedures are required in children under 3 years”. Determining this balance will not be difficult for the vast majority of cases. We rarely have 3-h cases in pregnant women or young children that can be delayed or performed without appropriate anesthesia, without adding material and substantial risk. There will however be some gray areas. Can some craniofacial cases be delayed? Can we perform a laparotomy in a neonate with just high dose opioids? In these cases, a more careful evaluation of risk/benefit is indeed warranted.

Medicine is all about making decisions that are driven by balancing our mechanistic understanding of

the biology underlying the disease or therapy, with empiric population-based evidence and what the patient wants. In some cases, the empiric evidence and/or mechanistic understanding is strong enough to make general guidelines and recommendations; however, when it comes to the effect of anesthesia on neurodevelopment we are certainly not there yet. The FDA needed to issue a warning and was wise to emphasize the uncertainty of our knowledge. What you do with the FDA warning will depend on whether you look at the evidence entirely or just read the first sentence. We recommend you do the former.

### Ethics approval

None required.

### Funding

Departmental funding.

### Disclosures

A Davidson is Editor-in-chief for this journal and L Vutskits is a section editor. Both A Davidson and L Vutskits have published research in this area and have applied for and received research grants to research this area.

Andrew Davidson<sup>1,2</sup>  & Laszlo Vutskits<sup>3,4</sup>

<sup>1</sup>Department of Anesthesia, Royal Children's Hospital, Melbourne, Vic, Australia

<sup>2</sup>Melbourne Children's Trials Centre, Murdoch Childrens Research Institute, Melbourne, Vic, Australia

<sup>3</sup>Department of Anesthesiology, Pharmacology and Intensive Care, University Hospitals of Geneva, Geneva, Switzerland

<sup>4</sup>Department of Basic Neuroscience, University of Geneva Medical School, Geneva, Switzerland

Email: Andrew.davidson@rch.org.au

doi:10.1111/pan.13122

### References

- Eckenhoff JE. Relationship of anesthesia to postoperative personality changes in children. *AMA Am J Diseases Children* 1953; **86**: 587–591.
- Vutskits L, Xie Z. Lasting impact of general anaesthesia on the brain: mechanisms and relevance. *Nat Rev Neurosci* 2016; **17**: 705–717.
- Glatz P, Sandin RH, Pedersen NL *et al*. Association of anesthesia and surgery during childhood with long-term academic performance. *JAMA Pediatrics* 2017; **171**: e163470.
- Graham MR, Brownell M, Chateau DG *et al*. Neurodevelopmental assessment in kindergarten in children exposed to general anesthesia before the age of 4 years: a retrospective matched cohort study. *Anesthesiology* 2016; **125**: 667–677.
- O'Leary JD, Janus M, Duku E *et al*. A population-based study evaluating the association between surgery in early life and child development at primary school entry. *Anesthesiology* 2016; **125**: 272–279.
- Sun LS, Li G, Miller TL *et al*. Association between a single general anesthesia exposure before age 36 months and neurocognitive outcomes in later childhood. *JAMA* 2016; **315**: 2312–2320.
- Davidson AJ, Disma N, de Graaff JC *et al*. Neurodevelopmental outcome at 2 years of age after general anaesthesia and awake-regional anaesthesia in infancy (GAS): an international multicentre, randomised controlled trial. *Lancet* 2016; **387**: 239–250.
- Newton LE, Abdessalam SF, Raynor SC *et al*. Neurodevelopmental outcomes of tracheoesophageal fistulas. *J Pediatr Surg* 2016; **51**: 743–747.
- Briner A, Nikonenko I, De Roo M *et al*. Developmental Stage-dependent persistent impact of propofol anesthesia on dendritic spines in the rat medial prefrontal cortex. *Anesthesiology* 2011; **115**: 282–293.